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FILE #: 0368/020224-USODATE: 9/26/06NUMBER TRANSMITTING TO: 571-273-0459RECIPIENT: PCT Legal DeptCOMPANY: Patent & Trademarks OfficeFROM: Flynn BarrisonRECEIVED
26 SEP 2006
Legal Staff
International DivisionNO. OF PAGES TRANSMITTING
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FIRST CLASS MAIL (Y/N): NO

COMMENTS:

Second Request For Corrected Filing Receipt

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I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being facsimile transferred to the Commissioner for Patents & Trademarks at the United States Patent and Trademark Office, Alexandria, VA 22313-1450, at 703-308-7761 on the date shown below.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

8/4/05 S. Williams
Date Name
S. Williams
Signature

Customer No.: 07278

Docket No.: 03108/0202224-US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Venkateswarlu Jasti et. al.

Serial No.: 10/518,612

Filed: May 13, 2005

For: NOVEL TETRACYCLIC ARYLCARBONYL INDOLES HAVING SEROTONIN
RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR
PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered
☐ omitted.

and/or

Error in**Correct data**

- ☐ Applicant's name
☐ Applicant's address
☒ Title

NOVEL TETRACYCLIC ARYLCARBONYL INDOLES
HAVING SEROTONIN RECEPTOR AFFINITY
USEFUL AS THERAPEUTIC AGENTS, PROCESS
FOR THEIR PREPARATION AND
PHARMACEUTICAL COMPOSITIONS
CONTAINING THEM

- ☐ Filing Date
☐ Application Number
☐ Foreign/PCT Application Re:
☐ Other

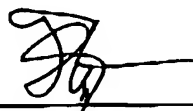
Remarks:

Enclosed please find a copy of the Executed Declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: August 4, 2005



Flynn Barrison
Reg. No. 53,970
Agent for Applicant(s)

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
212-527-7700



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/518,612	05/13/2005	1614	1330	03108/0202224-US0		22	4

CONFIRMATION NO. 9020

07278

DARBY & DARBY P.C.

P. O. BOX 5257

NEW YORK, NY 10150-5257

FILING RECEIPT



OC000000016473517

Date Mailed: 07/26/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Venkateswarlu Jasti, Hyderabad, INDIA;
 Venkata Satya Nirogi Ramakrishna, Hyderabad, INDIA;
 Rama Sastri Kambhampati, Hyderabad, INDIA;
 Srinivasa Reddy Battula, Hyderabad, INDIA;
 Venkata Satya Veerabhadra Vadlamudi Rao, Hyderabad, INDIA;

Assignment For Published Patent Application

SUVEN LIFE SCIENCES LIMITED, Hyderabad, INDIA

Power of Attorney: The patent practitioners associated with Customer Number 07278.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IN03/00223 06/19/2003

Foreign Applications

INDIA 477/MAS/2002 06/21/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Computer Law
 Old Entry Law
 Terminal Law
 Folder Law
 Card Law
 Letter Law
 Foreign Ftg. Law

Title

Novel tetracyclic arylcarbonyl indoles having serotonin receptor affinity useful as therapeutic agents, process for their preparation and pharmaceutical composition containing them

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

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VS 10/18,612

P/IN2003/00223

Express Mail Label No.

Dated: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is described and claimed and for which a patent is sought on the invention entitled:

NOVEL TETRACYCLIC ARYL CARBONYL INDOLES HAVING SEROTONIN RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

the specification of U.S. Patent Application Serial No. 10/518,612, which is the U.S. national phase of International Application No. PCT/IN03/00223 that was filed on June 19, 2003.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein. I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigned more than twelve months prior to this application.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☐ no such foreign applications have been filed

☒ such foreign application have been filed as follows:

Attorney Docket No.: 03108/0202224-US0

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
477/MAS/2002	IN	June 21, 2002	<u> x </u> Yes No <u> </u>
			<u> </u> Yes No <u> </u>
			<u> </u> Yes No <u> </u>

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☒ no such U.S. provisional applications have been filed.

☐ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		<u> </u> Yes No <u> </u>
		<u> </u> Yes No <u> </u>
		<u> </u> Yes No <u> </u>

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

Attorney Docket No.: 03108/0202224-US0

- ☐ no such U.S./PCT applications have been filed.
- ☒ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)
PCT/TN03/00223	June 19, 2003	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the practitioners under Customer Number

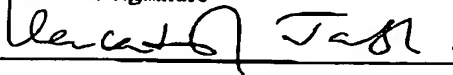
07278

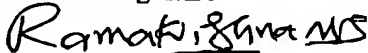
jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.


Please mail all correspondence to Customer Number 07278, whose address is:


Darby & Darby P.C.
P.O. Box 5257
New York, New York 10150-5257

Attorney Doc: No.: 03108/0202224-US0

Full name of sole or first inventor JASTI, Venkateswarlu	
Sole or first inventor's signature 	Date 26-March-2005
Residence Hyderabad, India	
Citizenship US	
Mailing Address Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh - 500 034 INDIA	

Full name of second inventor, if any RAMAKRISHNA, Venkata, Satya, Nirogi	
Second inventor's signature 	Date 26-March-2005
Residence Hyderabad, India	
Citizenship INDIA	
Mailing Address Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh - 500 034 INDIA	

Full name of third inventor, if any KAMBHAMPATI, Rama, Sastri	
Third inventor's signature 	Date 26-March-2005
Residence Hyderabad, India	
Citizenship INDIA	
Mailing Address Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh - 500 034 INDIA	

Full name of fourth inventor, if any BATTULA, Srinivasa, Reddy	
Fourth inventor's signature 	Date 26-March-2005
Residence Hyderabad, India	
Citizenship INDIA	
Mailing Address Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh - 500 034 INDIA	

Full name of fifth inventor, if any RAO, Venkata Satya Veerabhadra, Vadlamudi	
Fifth inventor's signature <i>V.V. Veerabhadra Rao</i>	Date <i>26-March-2005</i>
Residence Hyderabad, India	
Citizenship INDIA	
Mailing Address Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh - 500 034 INDIA	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of seventh inventor, if any	
Seventh inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

*** RX REPORT ***

RECEPTION OK

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